



AML & KYC POLICY

Anti-Money Laundering (AML) and Know Your Customer (KYC) Policy

1. Introduction

This Anti-Money Laundering (AML) and Know Your Customer (KYC) policy is established by Deep Purple LLC (hereinafter referred to as "the Company"), a marketing company registered in Euro House, Richmond Hill Road P. O. Box 2897, Kingstown, VC0100, Saint Vincent and the Grenadines under registration number 2603 LLC 2022. This policy aims to prevent the Company from being used for money laundering, terrorist financing, or any other illegal activities. The Company is committed to maintaining the highest standards of compliance and ethical conduct in its operations.

2. Definitions

In this policy:

"We," "our," "Company" refers to Deep Purple LLC.

"AML" refers to Anti-Money Laundering.

"KYC" refers to Know Your Customer.

"Client" refers to corporate clients availing services from the Company.

3. Anti-Money Laundering (AML) Measures

3.1 Client Identification and Due Diligence

The Company will conduct thorough due diligence on all corporate clients before entering into a business relationship. This includes obtaining and verifying the following documents:

- Certificate of Incorporation
- Memorandum and Articles of Association
- Confirmation of Company Address
- List of Shareholders with 25% or more voting rights
- List of Directors
- Identification documents of Directors and Shareholders
- Proof of Address of Directors and Shareholders
- Certificate of Good Standing
- Certificate of Incumbency
- Any other documents deemed necessary by the Company

Enquiries will extend to identify ultimate ownership and control of the company, and updates will be sought for any changes in structure or ownership.

3.2 Transaction Monitoring and Record-Keeping

The Company will monitor transactions for suspicious activities and maintain a robust record-keeping system. Cash transactions will not be accepted, and all monetary transactions will be conducted through cashless settlements. The Company reserves the right to suspend transactions if there are suspicions of criminal activity, reporting such cases to the relevant authorities without client notification.

3.3 Regular Review and Amendments

The Company reserves the right to review and amend its AML policy periodically to ensure its effectiveness and compliance with regulatory standards.

3.4 Technology Utilization

Modern technologies will be employed for client identification, allowing the Company to obtain necessary information and control client actions on trading accounts. The record-keeping system will track suspicious transactions, facilitating immediate reporting to governmental authorities responsible for AML supervision.

3.5 Prohibition of Cash Transactions

The Company does not open deposit accounts or accept/withdraw funds in cash. All monetary transactions are conducted through secure, cashless settlements, with strict documentation of all interbank transactions.

3.6 Suspension of Transactions

The Company reserves the right to suspend the transmission of funds if suspicions arise regarding criminal purposes. In such cases, the Company is obliged to report any suspicious transactions and provide relevant information to the corresponding governmental supervisory authorities without notifying the clients.

4. Know Your Customer (KYC) Policy

4.1 Objective of KYC Policy

The KYC policy aims to prevent the Company from being used for criminal activities, understand clients better, and manage risks prudently.

4.2 Prevention Objectives

- Ensure integrity of sensitive data obtained from clients.
- Prevent the use of the Company for money laundering activities.
- Know and understand clients and their financial dealings for prudent risk management.
- Implement controls for detection of suspicious activities in compliance with laws and procedures.

4.3 Documentary Requirements

Clients are required to provide all necessary documents before entering into a business relationship with the Company, including but not limited to those listed in Section 3.1.

4.4 Client Communication

Clients are expected to cooperate fully with the Company's due diligence requirements. Failure to provide necessary documentation may result in the suspension of transactions.

4.5 Ongoing Due Diligence

The Company commits to ongoing due diligence by regularly reviewing and updating client information. In the event of any changes in the structure, ownership, or details of the client, the Company will make further enquiries to update its records.

4.6 International Standards

Standards of control vary between different countries, and the Company will pay close attention to the place of origin of documents and the background against which they are produced.

4.7 Document Security

The Company prioritizes the security and confidentiality of client documentation, employing the highest level of encryption throughout the review process.

5. Conclusion

Deep Purple LLC is committed to implementing and maintaining effective AML and KYC measures. Clients are expected to cooperate fully with the Company's due diligence requirements. Failure to provide necessary documentation may result in the suspension of transactions. This policy is subject to periodic review and amendment as deemed necessary by the Company.

For inquiries or further information, please contact info@mediaboz.com.